KEKER & VAN NEST, LLP 1 JOHN W. KEKER - #49092 HENRY C. BUNSOW - #60707 2 FILED JON B. STREETER - #101970 MICHAEL H. PAGE - #154913 3 RAGESH K. TANGRI - #159477 JUN 2 6 2001 710 Sansome Street 4 San Francisco, CA 94111-1704 RICHARD W. WIEKING CLERK, U.S. DISTRICT COURT, NORTHERN DISTRICT OF CALIFORNIA Telephone: (415) 391-5400 5 Facsimile: (415) 397-7188 6 FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, LLP 7 CHRISTOPHER P. ISAAC 1300 I Street, N.W. 8 Washington, D.C. 20005-3314 Telephone: (202) 408-4000 Facsimile: (202) 408-4400 10 Attorneys for Plaintiff INTERTRUST TECHNOLOGIES CORPORATION 11 12 13 UNITED STATES DISTRICT COURT 14 NORTHERN DISTRICT OF CALIFORNIA 15 16 Case No. C 01 1640 JL INTERTRUST TECHNOLOGIES 17 CORPORATION, FIRST AMENDED COMPLAINT FOR a Delaware corporation, 18 INFRINGEMENT OF U.S. PATENT NOS. 6,185,683 B1 AND 6,253,193 B1 Plaintiff, 19 20 v. DEMAND FOR JURY TRIAL MICROSOFT CORPORATION, a 21 Washington corporation, 22 Defendant. 23 24 Plaintiff INTERTRUST TECHNOLOGIES CORPORATION (hereafter "InterTrust") 25 hereby complains of Defendant MICROSOFT CORPORATION (hereafter "Microsoft"), and 26 alleges as follows: 27 28

FIRST AMENDED COMPLAINT FOR INFRINGEMENT OF U.S. PATENT NOS. 6,185,683 B1 & 6,253,193 B1

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1. This action for patent infringement arises under the patent laws of the United States, Title 35, United States Code, more particularly 35 U.S.C. §§ 271 and 281.

- 2. This Court has subject matter jurisdiction under 28 U.S.C. §§ 1331 and 1338(a).
- 3. Venue is proper in this judicial district under 28 U.S.C. §§ 1391(c) and 1400(b).

THE PARTIES

- Plaintiff InterTrust is a Delaware corporation with its principal place of business 4. at 4750 Patrick Henry Drive, Santa Clara, California.
- InterTrust is informed and believes, and on that basis alleges, that Defendant 5. Microsoft is a Washington Corporation with its principal place of business at One Microsoft Way, Redmond, Washington.
- InterTrust is informed and believes, and on that basis alleges, that Defendant 6. Microsoft does business in this judicial district and has committed and is continuing to commit acts of infringement in this judicial district.
- InterTrust is the owner of United States Patent No. 6,185,683 B1, entitled 7. "Trusted and secure techniques, systems and methods for item delivery and execution" ("the '683 patent"), duly and lawfully issued on February 6, 2001. A copy of the '683 patent is attached hereto as Exhibit A.
- InterTrust is the owner of United States Patent No. 6,253,193 B1, entitled 8. "Systems and methods for secure transaction management and electronic rights protection" ("the '193 patent"), duly and lawfully issued on June 26, 2001. A copy of the '193 patent is attached hereto as Exhibit B.

FIRST CLAIM FOR RELIEF

- InterTrust hereby incorporates by reference paragraphs 1-7 as if restated herein. 9.
- This is a claim for patent infringement under 35 U.S.C. §§ 271 and 281. 10.
- InterTrust is informed and believes, and on that basis alleges, that Microsoft has 11. been and is infringing the '683 patent under § 271(a) by making, using, selling, and offering for sale digital rights management software incorporating inventions claimed in the '683 patent.

InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '683 patent under §271(a) will continue unless enjoined by this Court.

- 12. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is knowingly and intentionally inducing others to infringe directly the '683 patent under § 271(a), thereby inducing infringement of the '683 patent under § 271(b). InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '683 patent under §271(b) will continue unless enjoined by this Court.
- 13. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is contributorily infringing the '683 patent under § 271(c) by providing digital rights management software and related functions especially made or especially adapted for infringing use and not staple articles or commodities of commerce suitable for substantial noninfringing use. InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '683 patent under §271(c) will continue unless enjoined by this Court.
- 14. InterTrust is informed and believes, and on that basis alleges, that Microsoft is willfully infringing the '683 patent in the manner described above in paragraphs 11 through 13, and will continue to do so unless enjoined by this Court.
- 15. InterTrust is informed and believes, and on that basis alleges, that Microsoft has derived and received, and will continue to derive and receive from the aforesaid acts of infringement gains, profits, and advantages, tangible and intangible, the extent of which are not presently known to InterTrust. By reason of the aforesaid acts of infringement, InterTrust has been, and will continue to be, irreparably harmed.

SECOND CLAIM FOR RELIEF

- 16. InterTrust hereby incorporates by reference paragraphs 1-6 and 8 as if restated herein.
 - 17. This is a claim for patent infringement under 35 U.S.C. §§ 271 and 281.
- 18. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is infringing the '193 patent under § 271(a) by making, using, selling, and offering for sale digital rights management software incorporating inventions claimed in the '193 patent.

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InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '193 patent under §271(a) will continue unless enjoined by this Court.

- InterTrust is informed and believes, and on that basis alleges, that Microsoft has 19. been and is knowingly and intentionally inducing others to infringe directly the '193 patent under § 271(a), thereby inducing infringement of the '193 patent under § 271(b). InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '193 patent under §271(b) will continue unless enjoined by this Court.
- InterTrust is informed and believes, and on that basis alleges, that Microsoft has 20. been and is contributorily infringing the '193 patent under § 271(c) by providing digital rights management software and related functions especially made or especially adapted for infringing use and not staple articles or commodities of commerce suitable for substantial noninfringing use. InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '193 patent under §271(c) will continue unless enjoined by this Court.
- InterTrust is informed and believes, and on that basis alleges, that Microsoft is 21. willfully infringing the '193 patent in the manner described above in paragraphs 18 through 20, and will continue to do so unless enjoined by this Court.
- InterTrust is informed and believes, and on that basis alleges, that Microsoft has 22. derived and received, and will continue to derive and receive from the aforesaid acts of infringement gains, profits, and advantages, tangible and intangible, the extent of which are not presently known to InterTrust. By reason of the aforesaid acts of infringement, InterTrust has been, and will continue to be, irreparably harmed.

PRAYER FOR RELIEF

WHEREFORE, InterTrust prays for relief as follows:

- That Microsoft be adjudged to have infringed the '683 patent under 35 U.S.C. § A. 271(a);
- That Microsoft be adjudged to have infringed the '683 patent under 35 U.S.C. § В. 271(b) by inducing others to infringe directly the '683 patent under 35 U.S.C. § 271(a);
 - That Microsoft be adjudged to have contributorily infringed the '683 patent under C.

35 U.S.C. § 271(c);

- D. That Microsoft be adjudged to have willfully infringed the '683 patent under 35 U.S.C. §§ 271(a), (b), and (c);
- E. That Microsoft, its officers, agents, servants, employees and attorneys, and those persons in active concert or participation with them be preliminarily and permanently restrained and enjoined under 35 U.S.C. § 283 from directly or indirectly infringing the '683 patent;
- F. That Microsoft be adjudged to have infringed the '193 patent under 35 U.S.C. § 271(a);
- G. That Microsoft be adjudged to have infringed the '193 patent under 35 U.S.C. § 271(b) by inducing others to infringe directly the '193 patent under 35 U.S.C. § 271(a);
- H. That Microsoft be adjudged to have contributorily infringed the '193 patent under 35 U.S.C. § 271(c);
- I. That Microsoft be adjudged to have willfully infringed the '193 patent under 35 U.S.C. §§ 271(a), (b), and (c);
- J. That Microsoft, its officers, agents, servants, employees and attorneys, and those persons in active concert or participation with them be preliminarily and permanently restrained and enjoined under 35 U.S.C. § 283 from directly or indirectly infringing the '193 patent;
- K. That this Court award damages to compensate InterTrust for Microsoft's infringement, as well as enhanced damages, pursuant to 35 U.S.C. § 284;
- L. That this Court adjudge this case to be exceptional and award reasonable attorney's fees to InterTrust pursuant to 35 U.S.C. § 285;
- M. That this Court assess pre-judgment and post-judgment interest and costs against Microsoft, and award such interest and costs to InterTrust, pursuant to 35 U.S.C. § 284; and
 - N. That InterTrust have such other and further relief as the Court may deem proper.

Dated: June 26, 2001 KEKER & VAN NEST, LLP

Attorneys for Plaintiff
INTERTRUST TECHNOLOGIES

CORPORATION

DEMAND FOR JURY TRIAL

Plaintiff InterTrust herby demands a trial by jury as to all issues triable by jury, specifically including, but not limited to, the issue of infringement of United States Patent No. 6,185,683 B1 and the issue of infringement of United States Patent No. 6,253,193 B1.

Dated: June 26, 2001

KEKER & VAN NEST, LLP

By:

JOHN W. KEKER
Attorneys for Plaintif

INTERTRUST TECHNOLOGIES

ORPORATION

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PROOF OF SERVICE

I am employed in the City and County of San Francisco, State of California in the office of a member of the bar of this court at whose direction the following service was made. I am over the age of eighteen years and not a party to the within action. My business address is Keker & Van Nest, LLP, 710 Sansome Street, San Francisco, California 94111.

On June 26, 2001, I served the following document(s):

FIRST AMENDED COMPLAINT FOR INFRINGEMENT OF U.S. PATENT NOS. 6,185,683 B1 AND 6,253,193 B1

DEMAND FOR JURY TRIAL

by regular UNITED STATES MAIL by placing a true and correct copy in a sealed envelope addressed as shown below. I am readily familiar with the practice of Keker & Van Nest, LLP for collection and processing of correspondence for mailing. According to that practice, items are deposited with the United States Postal Service at San Francisco, California on that same day with postage thereon fully prepaid. I am aware that, on motion of the party served, service is presumed invalid if the postal cancellation date or the postage meter date is more than one day after the date of deposit for mailing stated in this affidavit.

by COURIER, by placing a true and correct copy in a sealed envelope addressed as shown below, and dispatching a messenger from [MESSENGER COMPANY], whose address is [MESSENGER COMPANY ADDRESS], with instructions to hand-carry the above and make delivery to the following during normal business hours, by leaving a true copy thereof with the person whose name is shown or the person authorized to accept courier deliveries on behalf of the addressee.

via Courier

Fax: 650-614-74401

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Eric L. Wesenberg, Esq. Mark R. Weinstein, Esq. Orrick, Herrington & Sutcliffe LLP 1000 Marsh Road Menlo Park CA 94025

via U.S. Mail

John D. Vandenberg, Esq.
James E. Geringer, Esq.
Klarquist Sparkman Campbell, et al.
One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland OR 97204

I declare under penalty of perjury under the laws of the State of California that the above is true and correct.

Executed on June 26, 2001, at San Francisco, California.

MARIA LI-MANGIAPANI

Fax: 503-228-9446

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